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APPARATUS AND METHOD FOR AVOIDING DEADLOCKS IN A MULTITHREADED ENVIRONMENT

RELATED APPLICATIONS

5   The present invention is related to commonly assigned and co-pending U.S. Patent Applications _____ (Attorney Docket No. AUS9-2000-0569) entitled "APPARATUS AND METHODS FOR IMPROVED DEVIRTUALIZATION OF METHOD CALLS", _____ (Attorney Docket No. AUS9-2000-0572)

10   entitled "APPARATUS AND METHOD FOR IMPLEMENTING SWITCH INSTRUCTIONS IN AN IA64 ARCHITECTURE", _____ (Attorney Docket No. AUS9-2000-0573) entitled "APPARATUS AND METHOD FOR DETECTING AND HANDLING EXCEPTIONS",_____ (Attorney Docket No. AUS9-2000-0584) entitled "APPARATUS AND METHOD

15   FOR VIRTUAL REGISTER MANAGEMENT USING PARTIAL DATA FLOW ANALYSIS FOR JUST-IN-TIME COMPILATION", _____ (Attorney Docket No. AUS9-2000-0585) entitled "APPARATUS AND METHOD FOR AN ENHANCED INTEGER DIVIDE IN AN IA64 ARCHITECTURE", _____ (Attorney Docket No. AUS9-2000-0586) entitled

20   "APPARATUS AND METHOD FOR CREATING INSTRUCTION GROUPS FOR EXPLICITLY PARALLEL ARCHITECTURES", and _____ (Attorney Docket No. AUS9-2000-0587) entitled "APPARATUS AND METHOD FOR CREATING INSTRUCTION BUNDLES IN AN EXPLICITLY PARALLEL ARCHITECTURE", filed on even date herewith and

25   hereby incorporated by reference.

BACKGROUND OF THE INVENTION

1.   Technical Field:

30   The present invention is directed to an apparatus and method for avoiding deadlocks in a multithreaded environment.

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2. Description of Related Art:

Java Just-In-Time (JIT) compilers compile Java bytecode to native instructions of a target processor.

5   This can occur as late as when a method is first executed. Java is a multithreaded language and thus, it is possible that two or more threads of execution could simultaneously attempt to execute the same method for the first time in the system. If the method was compiled by

10 both threads then time and space would be wasted. A lock may be used to prevent one thread from compiling a method which has already started to be complied by another thread. However, improper use of locks may lead to a deadlock situation.

15 For example, when a method is compiled, the JIT compiler may need to resolve some constants which involve calling back into the Java Virtual Machine (JVM). It is possible that more Java code will be executed in the process of resolving the constant, particularly if a

20 class loader is involved. If the process of constant resolution calls the same method that is being compiled then a problem arises because the half-compiled method cannot be executed. Prior art solutions to this deadlock situation typically involve embeddeding lock acquisition

25 calls in the compiled code and removing them as compilation progresses.

Thus, it would be beneficial to have an apparatus and method for avoiding simultaneous compilation of the same method by two different execution threads. It would

30 further be beneficial to have an apparatus and method for avoiding simultaneous compilation while also avoiding deadlocks in a multithreaded environment.

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SUMMARY OF THE INVENTION

5   An apparatus and method for avoiding deadlocks in a multithreaded environment is provided. The apparatus and method provide a mechanism by which multiple threads are allowed to call a method virtually simultaneously without experiencing the problems regarding multiple compilations

10   of the same method or a lock being held by one thread while other threads wait on the lock.

    With the apparatus and method of the present invention, the first thread to call a method is the thread that causes the method to be compiled. Subsequent

15   calls to the method, during a period of time in which the method is being compiled, are redirected to the JVM interpreter, thereby avoiding any deadlock situation.

    The redirection of subsequent calls to the method is facilitated by the setting of pointers in fields of a

20   method block to redirect calls to the JVM interpreter. Once the method is compiled, the fields of the method block are set so that subsequent calls to the method are redirected to the compiled method code. Other features and advantages of the present invention will be described

25   in, or will be apparent to those of ordinary skill in the art in view of, the following detailed description of the preferred embodiments.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The
5 invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

10   **Figure 1** is an exemplary block diagram of a distributed data processing system according to the present invention;

Figure 2A is an exemplary block diagram of a data processing system according to the present invention;

15   **Figure 2B** is an exemplary block diagram of a data processing system according to the present invention;

Figure 3A is a block diagram illustrates the relationship of software components operating within a computer system that may implement the present invention;

20   **Figure 3B** is an exemplary block diagram of a Java Virtual Machine (JVM) according to the present invention;

Figure 4 is an exemplary block diagram illustrating a method block in accordance with the present invention;

Figure 5 is an exemplary block diagram illustrating
25 a lock pool in accordance with the present invention;

Figure 6 is an exemplary block diagram illustrating lock index bits in accordance with the present invention; and

Figure 7 is a flowchart outlining an exemplary
30 operation of the present invention.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

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With reference now to the figures, and in particular with reference to **Figure 1**, a pictorial representation of a distributed data processing system in which the present invention may be implemented is depicted. Distributed data processing system **100** is a network of computers in which the present invention may be implemented. Distributed data processing system **100** contains a network **102**, which is the medium used to provide communications links between various devices and computers connected together within distributed data processing system **100**. Network **102** may include permanent connections, such as wire or fiber optic cables, or temporary connections made through telephone connections.

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In the depicted example, a server **104** is connected to network **102** along with storage unit **106**. In addition, clients **108**, **110**, and **112** also are connected to a network **102**. These clients **108**, **110**, and **112** may be, for example, personal computers or network computers. For purposes of this application, a network computer is any computer, coupled to a network, which receives a program or other application from another computer coupled to the network. In the depicted example, server **104** provides data, such as boot files, operating system images, and applications to clients **108-112**. Clients **108**, **110**, and **112** are clients to server **104**. Distributed data processing system **100** may include additional servers, clients, and other devices not shown. In the depicted example, distributed data processing system **100** is the Internet with network **102** representing a worldwide

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collection of networks and gateways that use the TCP/IP
suite of protocols to communicate with one another. At
the heart of the Internet is a backbone of high-speed
data communication lines between major nodes or host
5   computers, consisting of thousands of commercial,
government, educational, and other computer systems, that
route data and messages. Of course, distributed data
processing system **100** also may be implemented as a number
of different types of networks, such as, for example, an
10   Intranet or a local area network.

　　　Figure 1 is intended as an example, and not as an
architectural limitation for the processes of the present
invention. The present invention may be implemented in
the depicted distributed data processing system or
15   modifications thereof as will be readily apparent to those
of ordinary skill in the art.

　　　With reference now to **Figure 2A**, a block diagram of a
data processing system which may be implemented as a
server, such as server **104** in **Figure 1**, is depicted in
20   accordance to the present invention. Data processing
system **200** may be a symmetric multiprocessor (SMP) system
including a plurality of processors **202** and **204** connected
to system bus **206**. Alternatively, a single processor
system may be employed. Also connected to system bus **206**
25   is memory controller/cache **208**, which provides an
interface to local memory **209**. I/O Bus Bridge **210** is
connected to system bus **206** and provides an interface to
I/O bus **212**. Memory controller/cache **208** and I/O Bus
Bridge **210** may be integrated as depicted.

30   　　　Peripheral component interconnect (PCI) bus bridge
214 connected to I/O bus **212** provides an interface to PCI

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local bus **216**. A modem **218** may be connected to PCI local
bus **216**. Typical PCI bus implementations will support
four PCI expansion slots or add-in connectors.
Communications links to network computers **108-112** in
5   **Figure 1** may be provided through modem **218** and network
adapter **220** connected to PCI local bus **216** through add-in
boards.

Additional PCI bus bridges **222** and **224** provide
interfaces for additional PCI buses **226** and **228**, from
10   which additional modems or network adapters may be
supported. In this manner, server **200** allows connections
to multiple network computers. A memory mapped graphics
adapter **230** and hard disk **232** may also be connected to I/O
bus **212** as depicted, either directly or indirectly.
15   Those of ordinary skill in the art will appreciate
that the hardware depicted in **Figure 2A** may vary. For
example, other peripheral devices, such as optical disk
drive and the like also may be used in addition or in
place of the hardware depicted. The depicted example is
20   not meant to imply architectural limitations with respect
to the present invention.

The data processing system depicted in **Figure 2A** may
be, for example, an IBM RISC/System 6000 system, a product
of International Business Machines Corporation in Armonk,
25   New York, running the Advanced Interactive Executive (AIX)
operating system.

With reference now to **Figure 2B**, a block diagram of
a data processing system in which the present invention
may be implemented is illustrated. Data processing
30   system **250** is an example of a client computer. Data
processing system **250** employs a peripheral component

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interconnect (PCI) local bus architecture. Although the depicted example employs a PCI bus, other bus architectures such as Micro Channel and ISA may be used. Processor **252** and main memory **254** are connected to PCI

5　local bus **256** through PCI Bridge **258**. PCI Bridge **258** also may include an integrated memory controller and cache memory for processor **252**. Additional connections to PCI local bus **256** may be made through direct component interconnection or through add-in boards. In the

10　depicted example, local area network (LAN) adapter **260**, SCSI host bus adapter **262**, and expansion bus interface **264** are connected to PCI local bus **256** by direct component connection. In contrast, audio adapter **266**, graphics adapter **268**, and audio/video adapter (A/V) **269**

15　are connected to PCI local bus **266** by add-in boards inserted into expansion slots. Expansion bus interface **264** provides a connection for a keyboard and mouse adapter **270**, modem **272**, and additional memory **274**. SCSI host bus adapter **262** provides a connection for hard disk

20　drive **276**, tape drive **278**, and CD-ROM **280** in the depicted example. Typical PCI local bus implementations will support three or four PCI expansion slots or add-in connectors.

An operating system runs on processor **252** and is

25　used to coordinate and provide control of various components within data processing system **250** in **Figure 2B**. The operating system may be a commercially available operating system such as OS/2, which is available from International Business Machines Corporation.

30　An object oriented programming system such as Java may run in conjunction with the operating system and may

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provide calls to the operating system from Java programs or applications executing on data processing system **250**. Instructions for the operating system, the object oriented operating system, and applications or programs

5   are located on storage devices, such as hard disk drive **276** and may be loaded into main memory **254** for execution by processor **252**. Hard disk drives are often absent and memory is constrained when data processing system **250** is used as a network client.

10   Those of ordinary skill in the art will appreciate that the hardware in **Figure 2B** may vary depending on the implementation. For example, other peripheral devices, such as optical disk drives and the like may be used in addition to or in place of the hardware depicted in

15   **Figure 2B**. The depicted example is not meant to imply architectural limitations with respect to the present invention. For example, the processes of the present invention may be applied to a multiprocessor data processing system.

20   The present invention provides an apparatus and method for avoiding deadlocks in a multithreaded environment. Although the present invention may operate on a variety of computer platforms and operating systems, it may also operate within a Java runtime environment.

25   Hence, the present invention may operate in conjunction with a Java virtual machine (JVM) yet within the boundaries of a JVM as defined by Java standard specifications. In order to provide a context for the present invention, portions of the operation of a JVM

30   according to Java specifications are herein described.

         With reference now to **Figure 3A**, a block diagram illustrates the relationship of software components

operating within a computer system that may implement the
present invention. Java-based system **300** contains
platform specific operating system **302** that provides
hardware and system support to software executing on a

5   specific hardware platform. JVM **304** is one software
application that may execute in conjunction with the
operating system. JVM **304** provides a Java run-time
environment with the ability to execute Java application
or applet **306**, which is a program, servlet, or software

10 component written in the Java programming language. The
computer system in which JVM **304** operates may be similar
to data processing system **200** or computer **100** described
above. However, JVM **304** may be implemented in dedicated
hardware on a so-called Java chip, Java-on-silicon, or

15 Java processor with an embedded picoJava core. At the
center of a Java run-time environment is the JVM, which
supports all aspects of Java's environment, including its
architecture, security features, mobility across
networks, and platform independence.

20   The JVM is a virtual computer, i.e. a computer that
is specified abstractly. The specification defines
certain features that every JVM must implement, with some
range of design choices that may depend upon the platform
on which the JVM is designed to execute. For example,

25 all JVMs must execute Java bytecodes and may use a range
of techniques to execute the instructions represented by
the bytecodes. A JVM may be implemented completely in
software or somewhat in hardware. This flexibility
allows different JVMs to be designed for mainframe

30 computers and PDAs.

        The JVM is the name of a virtual computer component
that actually executes Java programs. Java programs are

not run directly by the central processor but instead by the JVM, which is itself a piece of software running on the processor. The JVM allows Java programs to be executed on a different platform as opposed to only the

5   one platform for which the code was compiled. Java programs are compiled for the JVM. In this manner, Java is able to support applications for many types of data processing systems, which may contain a variety of central processing units and operating systems

10   architectures. To enable a Java application to execute on different types of data processing systems, a compiler typically generates an architecture-neutral file format — the compiled code is executable on many processors, given the presence of the Java run-time system.

15   The Java compiler generates bytecode instructions that are nonspecific to a particular computer architecture. A bytecode is a machine independent code generated by the Java compiler and executed by a Java interpreter. A Java interpreter is part of the JVM that

20   alternately decodes and interprets a bytecode or bytecodes. These bytecode instructions are designed to be easy to interpret on any computer and easily translated on the fly into native machine code.

A JVM must load class files and execute the

25   bytecodes within them. The JVM contains a class loader, which loads class files from an application and the class files from the Java application programming interfaces (APIs) which are needed by the application. The execution engine that executes the bytecodes may vary

30   across platforms and implementations.

One type of software-based execution engine is a Just-In-Time (JIT) compiler. With this type of

execution, the bytecodes of a method are compiled to native machine code upon successful fulfillment of some type of criteria for "jitting" a method. The native machine code for the method is then cached and reused

5   upon the next invocation of the method. The execution engine may also be implemented in hardware and embedded on a chip so that the Java bytecodes are executed natively. JVMs may interpret bytecodes or use other techniques, such as Just-In-Time compiling, to execute

10   bytecodes. It is not uncommon for a JVM to interpret some methods and Just-In-Time compile others.

When an application is executed on a JVM that is implemented in software on a platform-specific operating system, a Java application may interact with the host

15   operating system by invoking native methods. A Java method is written in the Java language, compiled to bytecodes, and stored in class files. A native method is written in some other language and compiled to the native machine code of a particular processor. Native methods

20   are stored in a dynamically linked library whose exact form is platform specific.

With reference now to **Figure 3B**, a block diagram of a JVM is depicted in accordance with a preferred embodiment of the present invention. JVM **350** includes a

25   class loader subsystem **352**, which is a mechanism for loading types, such as classes and interfaces, given fully qualified names. JVM **350** also contains runtime data areas **354**, execution engine **356**, native method interface **358**, and memory management **374**. Execution

30   engine **356** is a mechanism for executing instructions contained in the methods of classes loaded by class loader subsystem **352**. Execution engine **356** may be, for

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example, Java interpreter **362** or just-in-time compiler **360**. Native method interface **358** allows access to resources in the underlying operating system. Native method interface **358** may be, for example, a Java native
5 interface.

Runtime data areas **354** contain native method stacks **364**, Java frames **366**, PC registers **368**, method area **370**, and heap **372**. These different data areas represent the organization of memory needed by JVM **350** to execute a
10 program.

Java frames **366** are used to store the state of Java method invocations. When a new thread is launched, the JVM creates a new Java stack from which the thread will allocate Java Frames. A thread is a part of a program,
15 i.e. a transaction or message, that can execute independently of other parts. In a multithreaded environment, multiple streams of execution may take place concurrently within the same program, each stream processing a different transaction or message.

20 A Java frame contains all the information pertaining to a single method invocation and is commonly partitioned into three regions. The first region holds all local variables including the input parameters. The second region is typically fixed in size and contains various
25 pointers used by the interpreter including a pointer to the previous frame. The third region is the Java operand stack which is a FIFO stack that holds operands and results of bytecode operations. The operand stack is also used to pass parameters during invocation. The JVM
30 performs only two operations directly on Java operand stacks: it pushes and pops stack items. These items may

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be object references or primitives such as integers or floating point values.

When the interpreter **362** invokes a Java method, the interpreter **362** saves the return PC, i.e. a bytecode
5 pointer, in the current frame and makes an indirect call via a JVM invoker field in a method block of the Java method, as described in greater detail hereafter. Upon return from the JVM invoker, the interpreter fetches the current frame and resumes execution starting with the
10 bytecode specified in the returnPC field. When an interpreted method completes, the current frame is discarded and the previous frame is made current.

Note that the JVM invoker field will point to different routines depending on the characteristics of
15 the target method. For an interpreted method the JVM invoker routine allocates and initializes a Java frame and sets returnPC to the first bytecode of the called method. The new frame is made current and the JVM invoker returns to the interpreter to execute the method.
20 For native and compiled code, the JVM invoker passes control to the called method and when a return is made to the interpreter the current frame is the same as when the call was made. By fetching the returnPC of the current frame, the interpreter resumes execution of the calling
25 method. The JVM does not have any registers for holding intermediate values; any Java instruction that requires or produces an intermediate value uses the operand stack for holding the intermediate values. In this manner, the Java instruction set is well-defined for a variety of
30 platform architectures.

PC registers **368** are used to indicate the next instruction to be executed. Each instantiated thread

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gets its own pc register (program counter) and Java

stack. If the thread is executing a JVM method, the

value of the pc register indicates the next instruction

to execute. If the thread is executing a native method,

5   then the contents of the pc register are undefined.

Native method stacks **364** store the state of

invocations of native methods. The state of native

method invocations is stored in an

implementation-dependent way in native method stacks,

10   registers, or other implementation-dependent memory

areas. In some JVM implementations, native method stacks

364 and Java frames **366** are combined.

Method area **370** contains class data while heap **372**

contains all instantiated objects. The JVM specification

15   strictly defines data types and operations. Most JVMs

choose to have one method area and one heap, each of

which are shared by all threads running inside the JVM.

When the JVM loads a class file, it parses information

about a type from the binary data contained in the class

20   file. It places this type information into the method

area. Each time a class instance or array is created,

the memory for the new object is allocated from heap **372**.

JVM **350** includes an instruction that allocates memory

space within the memory for heap **372** but includes no

25   instruction for freeing that space within the memory.

Memory management **374** in the depicted example

manages memory space within the memory allocated to heap

370. Memory management **374** may include a garbage

collector which automatically reclaims memory used by

30   objects that are no longer referenced. Additionally, a

garbage collector also may move objects to reduce heap

fragmentation.

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The present invention is equally applicable to
either a platform specific environment, i.e. a
traditional computer application environment loading
modules or native methods, or a platform independent
5   environment, such as an interpretive environment, e.g., a
Java environment loading classes, methods and the like.
The present invention is particularly useful in
multithreaded environments as a mechanism for avoiding
deadlock situations that may arise due to the nature of
10   the multithreaded environment. For purposes of
explanation of the features and advantages of the present
invention, the examples of the preferred embodiments of
the present invention will assumed that the environment
is a Java environment.
15   The present invention provides a mechanism by which
simultaneous compilation of a method by two execution
threads is avoided while also avoiding any possible
deadlock situations that may arise. The present
invention may be implemented as part of a Java Virtual
20   Machine (JVM) or as a separate hardware/software
component acting in conjunction with the JVM.
The present invention makes use of method block
fields for redirecting calls of a method that arrive
subsequent to a first call to the method. A method block
25   is a control block data structure used to represent
control parameters of a Java method. The use of the
method block fields in the manner described hereafter
allows simultaneous compilation and execution via the JVM
interpreter. Once a method is fully compiled then
30   further callers will use the newly compiled code.
With the present invention, the method block is
provided with an invoker field which, before the JIT

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compiler itself is loaded, points to a standard JVM invoker which functions in conjunction with the interpreter. Once the JIT compiler has been loaded, all the invoker fields for newly loaded and existing methods

5 that are candidates for JIT compilation are changed to point to the JIT compiler routine CompileThisMethod, thereby indicating that the method should be compiled. Whereas all Java methods are potential candidates for JIT compilation, native methods are not. Furthermore, certain

10 Java methods such as class initializers that are run only once may be poor candidates for JIT compilation because unless they contain loops it is surely more efficient to interpret them than to compile and execute them. Once a method is compiled, the invoker field points to a routine

15 which will call the compiled code.

The present invention involves the use of a method block having an invoker field for any thread coming from the interpreter and another invoker field for calls from JITted code. On entry to the CompileThisMethod function,

20 the thread locks the method block and determines if compilation has started for this method. If it has not, then the method is marked as being in the process of being compiled and the JVM invoker and JIT invoker fields for the method are changed to indicate that the method

25 should be interpreted. The lock is then released. Subsequent threads will go directly to the interpreter and will interpret the method while the original thread compiles the method. Once the method has been compiled, the compiling thread then reacquires the lock for the

30 method block, marks the method as having been compiled, and changes the JVM invoker to a JVM to JIT transition routine and changes the JIT invoker to point to the newly

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compiled code.

There is a small window in which two threads can both enter the CompileThisMethod invoker. The first thread will get the lock and proceed as above. The second thread will get the lock after the first thread has changed the invoker and begun compiling the method. By this point the invokers will have been changed to point to the interpreter. The second thread will see from the flags that the method is in the process of being compiled so that the second thread does not attempt to compile the method but instead, merely releases the lock and executes the invoker which points back to the interpreter. Note that it is possible that the compilation could be finished by the time the second thread loads the invoker field in which case it would execute the compiled code.

Figure 4 is an exemplary block diagram illustrating a method block in accordance with the present invention. As shown in **Figure 4**, the method block **400** includes a JVM Original Invoker field **410**, a JVM Invoker field **420**, a JIT Invoker field **430**, and JIT flags field **440**.

The JVM Original Invoker field **410** is used to point to the original invoker of the method with which the method block is associated. The JVM invoker field **420** has three possible pointer settings: standard invoker code for the interpreter; CompileThisMethod from JVM; and JVM to JITted code (JVM to JIT). Before the JIT compiler is loaded, the JVM invoker field **420** is set to the standard invoker code for the interpreter. Once the JIT compiler is loaded, the original value of the JVM invoker field is saved in JVM Original Invoker field 410 and the JVM invoker field **420** is changed to point to the

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CompileThisMethod from JVM. The JVM invoker field is set
in all nonnative method blocks during JIT initialization
and in new method blocks during class loading. The
CompileThisMethod from JVM routine calls the compiler
5   with appropriate parameters to identify the called
method. Upon return from the compiler it makes an
indirect call via the JVM invoker field before returning
to the interpreter.

Once the method has been compiled, the JVM invoker
10 field **420** is changed to point to JVM to JIT code. The
JVM to JIT code is code that redirects calls to the
method to the compiled method code.

The JIT invoker field **430** is used when invoking a
method from Jitted code and has three possible settings:
15 JITted code to JVM (JIT to JVM); CompileThisMethod from
Jitted Code; and JITted code. Before the JIT compiler is
loaded field **430** is not used. While the method is
compiled, the JIT invoker field **430** is set to point to
CompileThisMethod from JITted code. The
20 CompileThisMethod from JITted code calls the compiler
with appropriate parameters to identify the called
method. Once the method is compiled, the JIT invoker
field **430** is set to point to the JITted code.

The JIT flags field **440** contains flags identifying
25 the state of the method with regard to the JIT compiler.
One flag that is of particular importance to the present
invention is the JIT compilation flag identifying whether
or not compilation of the method has begun. This flag is
set to a "started" state when the JIT compiler begins
30 compilation of the method in response to a thread calling
the method for the first time.

On entry to the CompileThisMethod function, a thread

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calling the method locks the method block for the method
and identifies if compilation has started for this
method. This may be done by looking at the value of the
JIT flags field **440** for the JIT compilation flag.

5   If compilation has not started, then the method is
marked as being in the process of being compiled by
setting the JIT compilation flag in the JIT flags field
440 to "started." The JVM invoker and JIT invoker fields
420 and **430** for the method are changed to indicate that
10   the method should be interpreted, i.e. the fields are
changed to JVM Original Invoker and JIT to JVM,
respectively. Thus, subsequent threads that call the
method will be redirected to the interpreter and will
interpret the method while the original thread compiles
15   the method.

Once the method has been compiled, the compiling
thread then gets the lock for the method block and
changes the JVM invoker field **420** to the JVM to JITted
code transition routine and changes the JIT invoker field
20   **430** to point to the newly compiled JITted code.

In addition to the above, the lock on the method
block must be maintained such that two threads are not
allowed to update the same method block. This can be
done by creating a new lock for every method. However,
25   for typical Java code, which may have several thousands
of methods, this approach may be expensive in terms of
storage to maintain information about each lock.

Alternatively, a global lock could be used or an
array of locks may be used where the precise lock to be
30   used is selected based on a hash function of the method
block address or an identifier held in the method block,
for example. Because the computation time while holding

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the lock is quite small, a global lock would probably suffice for most uniprocessor environments. The lock array approach is recommended for multiprocessor environments and is described below.

5   **Figure 5** is an exemplary block diagram illustrating a simple array of a locking pool in accordance with the present invention. The locking pool consists of a plurality of locks, lock 0-lock n, having indices into the array 0-n. One simple hashing function uses the

10   lowest n significant bits (ignore zero bits due to alignment) of the method block address as an index into the locking pool, where there are 2**n locks.

      Figure 6 is an exemplary block diagram of a method block address in accordance with the present invention.

15   As shown in **Figure 6**, the address may be of any length but is typically either 32 or 64 bits in length. A portion of the address, starting at the first significant bit n and ending at a second bit x, is used to provide a index into the lock pool. The length of the index in the

20   address is dependent on the number of locks used in the system.

      Figure 7 is a flowchart outlining an exemplary operation of the present invention when a thread calls a method. As shown in **Figure 7**, the operation starts with

25   acquiring a lock on the method block (step **710**). A determination is then made as to whether or not compilation of the method has been started (step **720**). If so, the lock is released (step **725**). If not, the JVM invoker field is set to point to the JVM original invoker

30   field, the JIT invoker field is set to point to a JIT to JVM routine, and the JIT flag is set to a "started" state (step **730**). The lock on the method block is then

released (step **740**) and the method is compiled (step **750**).

Once the method is compiled, the lock on the method block is again acquired (step **760**). The JVM invoker

5   field is set to a JVM to JIT routine, the JIT invoker field is set to the JITted code (step **770**). The lock on the method block is then released (step **780**) and the operation is exited.

Thus, the present invention provides a mechanism by

10   which deadlocks in a multithreaded environment may be avoided. The present invention allows multiple threads to call a method simultaneously without experiencing the problems associated with the prior art regarding multiple compilations of the same method or the use of imbedded

15   locks in the compiled code. With the present invention, the first thread to call a method is the thread that causes the method to be compiled. Subsequent calls to the method, during a period of time in which the method is being compiled, are redirected to the JVM interpreter,

20   thereby avoiding any deadlock situation.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of

25   the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the

30   distribution. Examples of computer readable media include recordable-type media such a floppy disc, a hard disk drive, a RAM, and CD-ROMs and transmission-type

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media such as digital and analog communications links.

The description of the present invention has been presented for purposes of illustration and description, but is not intended to be exhaustive or limited to the

5   invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of

10   ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.